

5 November 2018

The Manager,  
Australian Securities Exchange  
Level 40, Central Park  
152-158 St George's Terrace  
Perth WA 6000

Attention: Ben Secrett

By email

Dear Ben,

I refer to your letter of 5 November 2018 and, in response to the questions raised, advise as follows:

1. No.
2. Not applicable.
3. There have been a number of recent media articles in relation to activities being conducted by various companies in the Paterson Province, particularly Rio Tinto Exploration Pty Ltd (**Rio Tinto**), which Antipa believes has increased interest in the Paterson Province where Antipa has a large landholding of in excess of 5,500km<sup>2</sup>.

In addition, and as set out in the Antipa's Quarterly Activities and Cashflow Report released on 31 October 2018 (**Quarterly Report**), exploration activities are continuing on the Company's tenements in the Paterson Province of Western Australia. This includes activities on both its 100% owned tenements and those which are subject to a Farm-in and Joint Venture Agreement with Rio Tinto. As noted in the Quarterly Report, results are awaited from various exploration activities and, together with any updates to the exploration programmes, will be released in accordance with the requirements of the Listing Rules.

4. The Company confirms it is in compliance with the listing rules and, in particular, Listing Rule 3.1.
5. Confirmed.

Yours faithfully,



Company Secretary  
Antipa Minerals Limited



5 November 2018

**Mr Simon Robertson**

Antipa Minerals Limited  
Level 2, 16 Ord Street  
WEST PERTH WA 6005

By email

Dear Mr Robertson

**ANTIPA MINERALS LIMITED ("AZY"): PRICE & VOLUME QUERY**

We note the change in the price of AZY's securities from a closing price of \$0.015 on Thursday, 1 November 2018 to an intra-day high at the time of writing of \$0.021 today, Monday, 5 November 2018.

We also note the increase in the volume of AZY's securities traded today to a level significantly above the average daily volume.

In light of this, ASX asks AZY to respond separately to each of the following questions and requests for information.

1. Is AZY aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes":
  - a) Is AZY relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1?

Please note that the recent trading in AZY's securities would suggest to ASX that such information may have ceased to be confidential and therefore AZY may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - b) Can an announcement be made immediately?

Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that AZY may have for the recent trading in its securities?
4. Please confirm that AZY is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that AZY's responses to the questions above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its board or an officer of AZY with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under, and in accordance with, Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, **by not later than 1.00pm AWST today, Monday, 5 November 2018**. If we do not have your response by then, ASX will have no choice but to consider suspending trading in AZY's securities under Listing Rule 17.3.

You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, AZY's obligation is to disclose the

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information “immediately”. This may require the information to be disclosed before the deadline set out in the previous paragraph.

ASX reserves the right to release a copy of this letter and your response on the ASX Market Announcements Platform under Listing Rule 18.7A. Accordingly, your response should be in a form suitable for release to the market.

Your response should be sent to me by e-mail at [listingscomplianceperth@asx.com.au](mailto:listingscomplianceperth@asx.com.au). It should **not** be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Listing Rules 3.1 and 3.1A**

Listing Rule 3.1 requires a listed entity to give ASX immediately any information concerning it that a reasonable person would expect to have a material effect on the price or value of the entity’s securities. Exceptions to this requirement are set out in Listing Rule 3.1A.

In responding to this letter, you should have regard to AZY’s obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure: Listing Rules 3.1 – 3.1B*.

It should be noted that AZY’s obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is “yes” and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in AZY’s securities under Listing Rule 17.1.

If you wish to request a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted.

You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

If you have any queries or concerns about any of the above, please contact me immediately.

Kind regards

*[Sent electronically without signature]*

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**Ben Secrett**

Principal Adviser, Listings Compliance (Perth)